# Research Entity

## [1.0 Purpose](#_1.0_Purpose)

## [2.0 Scope](#_2.0_Scope)

## [3.0 Policy statement](#_3.0_Policy_statement)

## [4.0 Roles, responsibilities, and delegations](#_4.0_Roles,_responsibilities,)

## [5.0 Definitions](#_5.0_Definitions_1)

## [6.0 Information](#_6.0_Information)

## [7.0 Related policy documents and supporting documents](#_7.0_Related_Policy)

## 1.0 Purpose

This Policy provides principles for the development, establishment, management, performance, and disestablishment of Research Entities at Griffith University.

## 2.0 Scope

This Policy applies to those Research Entities established under this policy, irrespective of branding and terminology.

This Policy does not apply to Research Entities such as externally funded research centres that are created and supported by an external funder and managed according to the rules of the relevant scheme or the specific agreement.

The Policy does not extend to Research Entities such as research units or groups that may be established to foster emerging research strengths and/or to meet strategic goals that do not require central research investment. Such research units or groups normally will not use the terms ‘centre’ or ‘institute’ in their name unless prescribed by an alternative authority such as an external funding body or under grandfathering provisions in the transition to this policy.

The requirements for Academic Centres can be found in the *Academic Centres Policy*.

## 3.0 Policy statement

### 3.1 Purpose of Research Entities

The creation of a Research Entity under this policy facilitates several outcomes for the University, including:

* Creating a high-performance environment and culture to support research of the highest quality and impact that is aligned with the University’s strategic priorities.
* Aggregating research activities in related fields into coherent themes, building research capacity and assembling capabilities across the University to support the University’s key strategic outcomes and deliver benefits of national and international importance.
* Fostering collaboration of researchers from diverse fields and multidisciplinary teams, in the pursuit of tackling major research challenges and providing solutions that deliver significant societal impact.
* Elevating the external profile of the scale and quality of research activity and capability at Griffith and through our partnerships and networks.
* Driving research collaboration and co-design with external partners from research institutions, business and industry, and other stakeholder groups to benefit research end-users.
* Attracting significant levels of external research funding, with a view to achieving return on investment.
* Providing an inclusive and productive research environment and a pathway and vehicle supporting capability development of early- and mid-career researchers and supervision for Honours and Higher Degree by Research candidates.

### 3.2 Governance of Research Entities

* Research Entities will be governed by an Establishment and Review Committee led by the Deputy Vice Chancellor (Research).
* A Research Entity has a Director who will be provided funding and is accountable for the performance and delivery of its objectives.

### 3.3 Establishment, approval, operation, and disestablishment of Research Entities

* All new and renewed Research Entities are required to seek approval for establishment under the *Research Entities Procedure*.
* All Research Entities are regularly reviewed in line with the Procedure, to:
  + Assess delivery on its agreed objectives;
  + Review the overall research performance, added value to Griffith, and its ongoing need;
  + Recommend whether it should be renewed for a further term; and
  + Agree and set future objectives.
* A Research Entity will be disestablished if:
  + It is no longer an appropriate mechanism to support university strategic objectives or is failing to achieve its agreed objectives.

## 4.0 Roles, responsibilities, and delegations

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| --- | --- |
| ROLE | RESPONSIBILTY |
| Deputy Vice Chancellor (Research) | * Approves Research Entities Procedure. * Authority to establish a Research Entity. * Authority to disestablish a Research Entity. * Form and Chair the Establishment and Review Committee. |
| Executive Group | * Endorses the establishment and disestablishment of a Research Entity. |
| Griffith University Research Committee | * Monitors Research Entities’ performance and progress. |
| Director of a Research Entity | Responsible for strategic research leadership, development, promotion, support for collaboration, engagement, and operational management of a Research Entity, and delivery of agreed objectives.   * Provides an annual report to the Establishment and Review Committee on the performance of an established Research Entity. |
| Establishment and Review Committee | * Recommend the establishment of a Research Entity. * Reviews the performance and progress of a Research Entity. * Recommend the disestablishment of a Research Entity. |

## 5.0 Definitions

## Research Entity: Entities that receive formal recognition from the University that it is undertaking a program of research aligned to university strategic priorities.

**Research Centre or Institute:** The term ‘Centre’ or ‘Institute’ may be used to name a Research Entity that has been established and maintained in accordance with the *Research Entity Policy* and *Procedure*.

## 6.0 Information

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| Title | Research Entity Policy |
| Document number | 2023/0000423 |
| Purpose | This Policy provides principles for the development, establishment, management, performance, and disestablishment of Research Entities at Griffith University. |
| Audience | Staff |
| Category | Academic |
| Subcategory | Research |
| Approval date | 16 November 2023 |
| Effective date | 1 February 2024 |
| Review date | 2026 |
| Policy advisor | Deputy Director, Research Strategy Systems and Policy, Office for Research |
| Approving authority | Academic Committee |

## 7.0 Related Policy Documents and Supporting Documents

|  |  |
| --- | --- |
| Legislation | [Research and Innovation Plan 2021-2025](https://www.griffith.edu.au/research/research-and-innovation-plan-2021-2025) |
| Policy | [Academic Centres Policy](https://sharepointpubstor.blob.core.windows.net/policylibrary-prod/Academic%20Centres%20Policy.pdf)  [Code of Conduct](https://sharepointpubstor.blob.core.windows.net/policylibrary-prod/Code%20of%20Conduct.pdf)  [Conflict of Interest Policy](https://sharepointpubstor.blob.core.windows.net/policylibrary-prod/Conflict%20of%20Interest%20Policy.pdf)  [Equity, Diversity and Inclusion Policy](https://sharepointpubstor.blob.core.windows.net/policylibrary-prod/Equity%20Diversity%20and%20Inclusion%20Policy.pdf)  [Higher Degree by Research Policy](https://sharepointpubstor.blob.core.windows.net/policylibrary-prod/Higher%20Degree%20by%20Research%20Policy.pdf)  [Intellectual Property Policy](https://sharepointpubstor.blob.core.windows.net/policylibrary-prod/Intellectual%20Property%20Policy.pdf)  [Performance Management of Academic Managers Policy and Procedures](https://sharepointpubstor.blob.core.windows.net/policylibrary-prod/Performance%20Management%20of%20Academic%20Managers.pdf)  [Responsible Conduct of Research Policy](https://sharepointpubstor.blob.core.windows.net/policylibrary-prod/Responsible%20Conduct%20of%20Research%20Policy.pdf) |
| Procedure | [Research Entity Procedure](https://sharepointpubstor.blob.core.windows.net/policylibrary-prod/Research%20Entity%20Procedure.pdf)  [Research Quality Framework](https://sharepointpubstor.blob.core.windows.net/policylibrary-prod/Research%20Quality%20Framework.pdf) |